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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Pascal J.A. Gauthereon, et al.

Appln. No.: 10/562,185

Filed: December 22, 2005

For: SYSTEM AND METHOD OF INVESTING
FUNDS

Attorney Docket No: 10022-802

Examiner: TBA

Art Unit: TBA

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached are:

- ☒ Supplemental Preliminary Amendment (10 pages)
☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | | Not a Small Entity | |
|---|-------------------------------------|-------|------------------------------------|------------------|--------------|-----------|----|--------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | 28 | Minus | 28 | 0 | x \$25= | | | x \$50= | |
| Indep. | 5 | Minus | 5 | 0 | X100= | | | x \$200= | |
| First Presentation of Multiple Dep. Claim | | | | | + \$180= | | | + \$360= | |
| | | | | | Total | \$ | | Total | \$ |

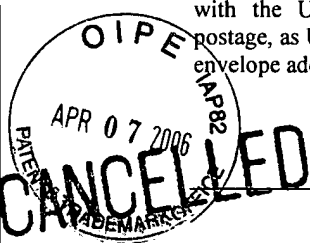
Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

4/6/06
Date

Amir N. Penn (Reg. No. 40,767)



Date of Deposit

Name of applicant, assignee or
Registered Representative

Signature

Date of Signature

Our Case No. 10022-802

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

For: **SYSTEM AND METHOD OF
INVESTING FUNDS**

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Group Art Unit No. TBA

SUPPLEMENTAL PRELIMINARY AMENDMENT

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Remarks begin on page 10 of this paper.